November 30, 2005

JAP4 Rec'd PCT/PTO 05 DEC 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 30, 2005.

Date of Signature: November 30, 2005

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Commissioner for Patents

Alexandria, VA 22313-1450

U.S. Patent Application Serial No. 10/551,395 for USE OF PIPERAZINE PHENOTHIAZINE DERIVATIVES, OR A

PHARMACEUTICALLY ACCEPTABLE SALT OR ESTER

THEREOF, IN THE MANUFACTURE OF A MEDICAMENT WITH NEUROPROTECTOR AND/OR NEUROTROPHIC EFFECTS ON

CNS AND/OR PNS Our Ref. No. 1386/22

Sir:

Please find enclosed in connection with the subject U.S. patent application the following documents:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (2 pages) in duplicate;
- 3. Copies of cited references (25 references); and
- 4. A return-receipt postcard to be returned to us with the U.S. Patent and Trademark Office filing stamp thereon.

The Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

Arles A. Taylor, Jr.

Registration No. 39,395

AAT/acy **Enclosures**

Customer No: 25297

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PATENT

Amy Yost

Date of Signature November 30, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Callinzot et al.

Serial No.: 10/551,395

Filed: September 29, 2005

Docket No.: 1386/22

For: USE OF PIPERAZINE PHENOTHIAZINE DERIVATIVES, OR A

PHARMACEUTICALLY ACCEPTABLE SALT OR ESTER THEREOF, IN THE MANUFACTURE OF A MEDICAMENT WITH NEUROPROTECTOR AND/OR

NEUROTROPHIC EFFECTS ON CNS AND/OR PNS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97, and 1.98, applicants' undersigned attorney brings to the attention of the Patent and Trademark Office the documents listed on the attached Form PTO-1449. Copies of the references as well as Form PTO-1449 are attached hereto. This is not to be construed as a representation that a search has been made or that a reference is relevant merely because cited.

Early passage of the subject application to issue is earnestly solicited.

Serial No.: 10/551,395

Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any fees associated with the filing of this Information Disclosure Statement to Deposit Account No. <u>50-0426</u>.

Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

Date: 1/30/2005

By:

Arles A. Taylor, Jr.

Registration No. 39,395

AAT/acy

Enclosures

Customer No: 25297

DEC O & 2005

FORM P	ГО В 14	49 U.S. Separtme	nt of	Commerce		Application No.:	10/551,395	
Patent an	d Tra	Office			Filing Date:	September 29, 2005		
					First Named Inventor:	Callizot et al.		
List of Do	cumei	nts Cited by Applic	ant		Group:	To be assigned		
					Examiner:	To be assigned		
					•	Attorney Docket No.:	1386/22	
				U.S. PATE	ENT DO	CUMENTS		
Examiner Initial	Cite No.	Document Number Pub		lication Date Name of Patentee or Applicant of Cite Document			Pages, Columns, Lines, where relevant passages or relevant figures appear	
	1	6,482,822	11/19/2002		Bigg et al.			
	2	6,057,373	5/2	/2000	Fogel, Barry			
	3	3,320,245	5/16/1967		Wolf et al.			
	4	3,320,249	5/16/1967		Berns	stein et al.		
	1		F	OREIGN PA	ATENT	DOCUMENTS	1	
Examiner	Cite No.	Document Number (country code, no., kind code (if known)		Publication	n Date	Name of Patentee or Applicant	Pages, columns, lines where relevant passages appear	Т
	5	WO 2004/096231		11/11/2004		Neuro 3D		
	6	WO 99/07356		2/18/199	9	Univ. of South FI. Research Foundation		
	7	EP 0615749 A2		9/21/94		Viriginia Commonwealth Univ.		
	8	WO 01/78709 A2		10/25/2001		Minerva Biotech. Corp.		
	9	WO 96/04915		2/22/1996		Albert Einstein College		
	10	WO 00/24390		5/4/2000		Univ. of British Colombia		
	11	WO 02/22611		3/22/2002		Vertex Pharm. Inc.		
	12	WO 02/083656		10/24/2002		Harnett et al.		
	13	WO 00/32175		6/8/2000		Pfizer Product Inc.		
	14	WO 01/92240		12/6/2001		Dal-Housie Univ.		
	L	<u> </u>		<u> </u>		<u> </u>	L	

	15	WO 03/062232	7/31/2003	Harnett et al.			
	16	WO 03/062388	7/31/2003	Regents of Univ. of Ca.			
			OTHER DO	CUMENTS		<u> </u>	
Examiner Initials	Cite No. Include Author (in CAPITAL LETTERS), Title, Journal, Date, Pertinent Pages, Etc.						
	17	DAVIS ET AL. "Peripheral Diabetic Neuropathy Treated With Amitriptyline and Fluphenazine", <u>JAMA</u> , 238(21) : 2291-2292, Nov. 21, 1977.					
	MOSLEY ET AL. "Control of Diabetic Neuropathic Pain by Amitripty-Line (A) and Fluphenazine (F) in Mild Renal Failure" <u>J. of the Inter. Soc. of Nephrology</u> , 21(1) 155, January 1982. ABSTRACT						
	19	GOMEZ-PEREZ, "Nortriptyline-Fluphenazine vs. Carbamazepine in the Symptomatic Treatment of Diabetic Neuropathy", <u>Archives of Med. Research</u> , 27(4) : 525-529, 1996. GOMEZ-PEREZ ET AL., "Nortriptyline and Fluphenazine in the Symptomatic Treatment of diabetic Neuropathy. A Double-Blind Cross-Over Study", <u>Pain</u> , 23 : 395-400, 1985.					
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	21	BATTLA ET AL., Clinical Trial of Amitriptyline and Fluphenazine in Diabetic Peripheral Neuropathy", Southern Medical Journal, 74(4): 417-178, April 1981.					
•	22 GOTTLIEH ET AL. "Depot Neuroleptics in the Treatment of Behavioral Disorders Patients with Alzheimer's Disease", <u>JAGS</u> , 36 : 619-621, 1988.						
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HALE ET AL., "Low Dose Perphenazine and Levodopa/Carbidopa The Patient with Parkinsonism and a Psychotic Illness", <u>J. of Nervous and Mealth</u> , 168(5) : 312-314, 1980.							
	25	PERINI ET AL. "Trattamento con fluoperazina in un caso di allucinosi farmaco- indotta nel corso di terapia per morbo di Parkinson", <u>Gazzetta Medica Italiana</u> , 148(3) : 101-104, 1989.					

XAMINER	DATE CONSIDERED
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^{*}Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.